



09-05-02

2622 #3

Attorney & Trademark Office Docket No. 00927/LH

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): Masashi SUGANO et al.

Serial No. : 09/756,036

Filed : January 5, 2001

For : IMAGE FORMING APPARATUS AND
IMAGE READING DEVICE FOR
USE IN THE APPARATUS

Art Unit :
Examiner :

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No.: EV 142 052 236 US
Date of Deposit: September 4, 2002

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231

Yolanda Usher
Yolanda Usher

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

**INFORMATION DISCLOSURE STATEMENT
WITH STATEMENTS UNDER 37 CFR 1.97(e)
AND 1.704(d)**

Commissioner for Patents
Washington, D.C. 20231

S I R :

Submitted herewith are:

- (1) Copy of a communication (Search Report) issued from a foreign patent office in a counterpart European application;
- (2) Copies of the cited publications; and
- (3) Forms PTO/SB/08A and PTO/SB/08B. It is requested that initialed copies of Forms PTO/SB/08A and PTO/SB/08B be returned to indicate that the publications listed therein have been considered and made of record.

All of the cited publications are in the English language.

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Each of the cited publications is considered relevant or material to the patentability of the present invention in view of the citation thereof in said communication.

Said communication is in English, thereby satisfying the requirements for a concise explanation of relevance for any non-English language documents cited therein (MPEP 609 III A(3)).

STATEMENT UNDER 37 CFR 1.97(e) (1)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present Information Disclosure Statement. Said Communication bears a mailing date of August 21, 2002. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(e).

STATEMENT UNDER 37 CFR 1.704(d)

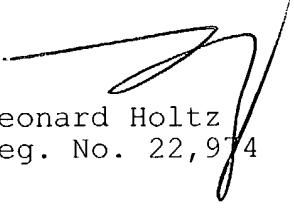
Each item of information contained in this Information Disclosure Statement was cited in said communication from a foreign patent office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the present Information Disclosure Statement.

It is noted that EP 0 739 089 corresponds to U.S. Patent Nos. 5,631,920; 5,872,807; and 5,867,524 (see the listing of

family members in the European Search Report). However, since EP 0 739 089 is in the English language, it is not necessary to provide copies of its counterpart U.S. patents.

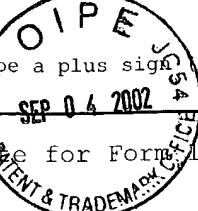
It is respectfully requested that the attached publications be considered and made of record.

Respectfully submitted,



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PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Application Number	09/756,036
Filing Date	January 5, 2001
First Named Inventor	Masashi SUGANO
Group Art Unit	
Examiner Name	
Sheet	1 of 2
Attorney Docket Number	00927/LH

U.S. PATENT DOCUMENTS

Exam. Units*	Cite No ¹	Document Number	Kind Code ²	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion
		5,781,074		Levante et al.	07/14/1998	
		5,933,587		HONMA et al.	08/03/1999	
		6,014,063		LIU et al.	RECEIVED SEP 16 2002 Technology Center 2600	

FOREIGN PATENT DOCUMENTS

Exam. Units*	Cite No ¹	Offc ³	Document Number ⁴	Kind Code ⁵	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T ⁶
		EP	0 739 089	A2	Keith B. HARDIN	10/23/1996		

Examiner Signature Date Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Place a check here if English translation is attached.

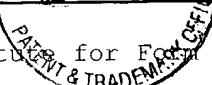
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Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/756,036
				Filing Date	January 5, 2001
				First Named Inventor	Musashi SUGANO
				Group Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	00927/LH

OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of author (in CAPITAL LETTERS), title of article, title of item, date, page(s), volume-issue number(s), publisher, city and/or country where published		T ²
		PATENT ABSTRACTS OF JAPAN, Vol. 2000, No. 21, 3 Aug. 2001 & JP 2001 092311 A (Canon Inc.), 6 Apr. 2001.		
Examiner Signature		Date Considered		

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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